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Atty. Dkt. No. 041673-2092

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

: Prussak, et al.

Title:

NOVEL CHIMERIC TNF

**LIGANDS** 

Appl. No.:

10/006,305

Filing Date:

12/06/2001

Examiner:

Unknown

Art Unit:

1743

**CERTIFICATE OF MAILING** 

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. The documents were cited in an International Search Report for corresponding international application PCT/US02/39245. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

Atty. Dkt. No. 041673-2092

## **TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

## RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

Date

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MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO Complete if Known 10/006,305 INFORMATION DISCLOSURE **Application Number** STATEMENT BY APPLICANT 12/06/2001 **Filing Date** First Nam d Inventor Charles E. Prussak Group Art Unit 1743 (use as many sheets as necessary) **Examiner Name** Unknown 041673-2092 Sheet Attorney Docket Number

_		<u> </u>		U.S. PATENT DOCUMENTS	3	
Examiner Initials*	Cite No.1	U.S. Patent Document		No 6 Dodge Andison 6	Date of Publication of	Pages, Columns, Lines, Where Relevant
		Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
	A1	6,544,523 B1		Chu	04-08-2003	
	A2	6,451,759 B1		Kang et al.	09-17-2003	

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	A3	WO	98/21232		Chiron Corporation				
								+	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A4	Tang et al., "Length of the linking domain of human pro-tumor necrosis factor determines the cleavage processing." Biochemistry, 35:8226-8233, 1996.	
	A5	Decoster et al., "Generation and biological characterization of membrane-bound, uncleavable murine tumor necrosis factor." The Journal of Biological Chemistry, 270(31): 18473-18478, 1995.	
	A6	Moss et al., "Cloning of a disintegrin metalloproteinase that processes precursor tumour-necrosis factor-α." Nature, 385: 733-738, 1997.	
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_	A8	International Search Report from application PCT/US02/39245.	

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Examiner Signature		Date Considered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number: 2See attached Kinds of U.S. Patent Documents, 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.